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(54) Storage system supporting file-level and block-level accesses

(57) One embodiment of the present invention provides a storage system that services access requests received from a computer system. In a first mode of operation, the storage system receives a file system access from the computer system across a communication channel. This file system access includes an identifier for a file and an offset into the file. The storage system translates this file system access into a block-level access, which includes a device number and a block number. This block-level access is forwarded to a storage device. In a second mode of operation, the storage system receives block-level access, which includes a device number and a block number. The storage system forwards this block-level access to the storage device. In a variation on the above embodiment, during the second mode of operation, after receiving the block-level access, the system passes the block-level access through a storage device emulator, then through a cache for the storage device. If the block level access cannot be serviced within the cache, the system passes the block-level access through a driver for the storage device before forwarding the block-level access to the storage device.

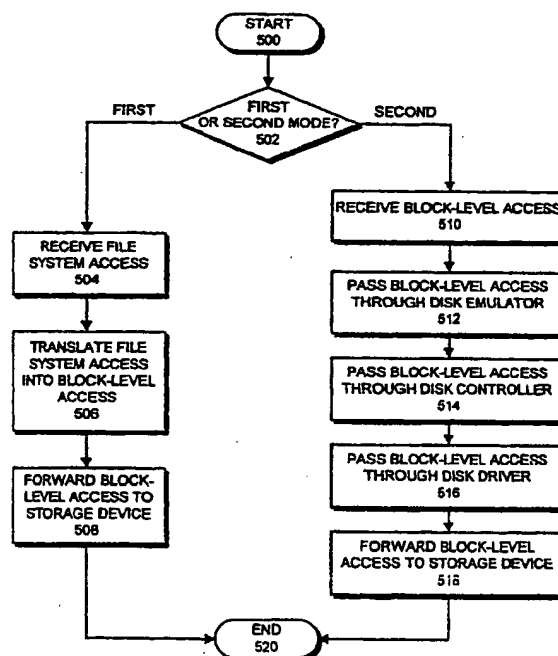


FIG. 5

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## Description

### BACKGROUND

#### Field of the Invention

[0001] The present invention relates to secondary storage systems for computers. More specifically, the present invention relates to a secondary storage system that supports both file-level and block-level access protocols.

#### Related Art

[0002] As processor clock speeds continue to increase at an exponential rate and the price of semiconductor memories continues to fall, it is becoming possible to incorporate larger amounts of processing power and semiconductor memory into secondary storage devices, such as disk drives. This additional processing power and memory is being used to buffer requests into secondary storage devices in order to minimize the number of accesses to rotating storage. Accessing rotating storage can severely limit processor performance because at current processor clock speeds it is possible to execute millions of instructions in the time it takes to retrieve a single block of data from rotating storage.

[0003] Unfortunately, protocols that are typically used by computers to communicate with secondary storage systems were designed presuming that the secondary storage systems possess very little processing power and semiconductor memory. Common protocols, such as the SCSI protocol, present device-specific commands at the block-level. For example, a read operation to a disk may include a device number that identifies a disk to which the read operation is directed, and a block or track number, which specifies the block or track that is to be retrieved from the disk during the read operation.

[0004] Upon receiving a block-level command, intelligent secondary storage systems typically process the command using a software emulator that emulates an unsophisticated disk drive. This software emulator uses the block-level request to lookup entries in a cache of disk blocks that are maintained within semiconductor memory in the secondary storage system. In some cases, this block-level access ultimately results in an access to the disk. This access to the disk must pass through a device driver for the disk, which converts the access back into a form that is suitable for communication with the disk.

[0005] Consequently, many of the processing operations performed by an intelligent secondary storage system are spent undoing a conversion into a block-level format and then redoing the conversion to create a request for the disk drive.

[0006] What is needed is an intelligent secondary

storage system that is able to process higher-level file access commands so that lower-level format conversion operations do not need to be undone and then redone by the storage system.

5 [0007] However, if such a storage system is developed, this storage system would only be compatible with computer systems that are configured to send higher-level file access commands to a storage device. Such a storage system would not be compatible with  
10 existing computer systems that provide commands in a block-level format.

[0008] What is needed is an intelligent storage system that is able to process both higher-level file access commands and lower-level block access commands.

### SUMMARY

[0009] One embodiment of the present invention provides a storage system that services access requests received from a computer system. In a first mode of operation, the storage system receives a file system access from the computer system across a communication channel. This file system access includes an identifier for a file and an offset into the file.  
20 The storage system translates this file system access into a block-level access, which includes a device number and a block number. This block-level access is forwarded to a storage device. In a second mode of operation, the storage system receives block-level access, which includes a device number and a block number. The storage system forwards this block-level access to the storage device.

[0010] In a variation on the above embodiment, during the second mode of operation, after receiving the block-level access, the system passes the block-level access through a storage device emulator, then through a cache for the storage device. If the block level access cannot be serviced within the cache, the system passes the block-level access through a driver for the storage device before forwarding the block-level access to the storage device.

[0011] In a variation on the above embodiment, the file system access is received from a client-side portion of a file system and is translated into a block-level access within a server-side portion of the file system.

[0012] In a variation on the above embodiment, the file system access is received from one of a plurality of processors coupled to the storage system.

### BRIEF DESCRIPTION OF THE FIGURES

#### [0013]

FIG. 1 illustrates a storage system coupled to a plurality of computers in accordance with an embodiment of the present invention.

FIG. 2 illustrates system layers involved in performing a file system access wherein block-level com-

mands are transferred to a storage system in accordance with an embodiment of the present invention.

FIG. 3 illustrates system layers involved in performing a file system access wherein file-level commands are transferred to a storage system in accordance with an embodiment of the present invention.

FIG. 4A illustrates some of the items within a file system access in accordance with an embodiment of the present invention.

FIG. 4B illustrates some of the items within a block-level access in accordance with an embodiment of the present invention.

FIG. 5 is a flow chart illustrating operation of a storage system in accordance with an embodiment of the present invention.

## DETAILED DESCRIPTION

[0014] The following description is presented to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular application and its requirements. Various modifications to the disclosed embodiments will be readily apparent to those skilled in the art, and the general principles defined herein may be applied to other embodiments and applications without departing from the spirit and scope of the present invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles and features disclosed herein.

[0015] The data structures and code described in this detailed description are typically stored on a computer readable storage medium, which may be any device or medium that can store code and/or data for use by a computer system. This includes, but is not limited to, magnetic and optical storage devices such as disk drives, magnetic tape, CDs (compact discs) and DVDs (digital video discs), and computer instruction signals embodied in a transmission medium (with or without a carrier wave upon which the signals are modulated). For example, the transmission medium may include a communications network, such as the Internet.

### Storage System

[0016] FIG. 1 illustrates storage system 120 coupled to computers 102-104 in accordance with an embodiment of the present invention. Computers 102-104 can include any type of computer that can use storage system 120 to store code and/or data. This includes, but is not limited to, computers based upon microprocessors, mainframe processors, device controllers, and computational engines within appliances. Note that computers 102-104 may include semiconductor memory in addition to other computer system com-

ponents.

[0017] In the illustrated embodiment, computers 102-104 are coupled together through network 106. Network 106 may include any type of wire or wireless communication channel capable of coupling together computers 102-104. This includes, but is not limited to, a local area network, a wide area network, or a combination of networks. In one embodiment of the present invention, network 106 includes the Internet.

[0018] In one embodiment of the present invention, computers 102-104 provide fault-tolerance by allowing failovers between computers 102-104. For example, suppose computer 102 is acting as a primary server and computer 103 is acting as a backup secondary server. If computer 102 fails, computer 103 can take over the server functions performed by computer 102.

[0019] Note that the present invention can be applied to any type of computer system that includes an intelligent storage system 120 for storing code and/or data. For example, the present invention can be applied to a non-fault-tolerant single processor system.

[0020] Storage system 120 includes controller 122 and cache 124, which are coupled to storage devices 130-133. Storage system 120 additionally includes controller 123 and cache 125, which are similarly coupled to storage devices 130-133.

[0021] Note that providing two controllers 122-123 and two caches 124-125 allows storage system 120 to provide fault tolerance in cases where one of the two controllers 122-123 or one of the two caches 124-125 fails. Also note that the present invention can additionally apply to non-fault-tolerant storage systems that include only a single controller and a single cache.

[0022] Controllers 122-123 can include any type of computational devices that can be configured to act as controllers. During operation, controllers 122-123 manage a number of components, including storage devices 130-133 and caches 124-125. Controllers 122-123 can also manage mirroring of caches 124-125. This keeps caches 124 and 125 consistent with each other for fault-tolerance purposes.

[0023] Caches 124 and 125 can include any type of random access memory for caching data from computers 102-104. In one embodiment of the present invention, caches 124-125 include non-volatile random access memory based upon flash memory or battery backed up memory. This allows a transaction to be permanently committed into non-volatile storage in caches 124-125 without having to wait for storage devices 130-133.

[0024] Storage devices 130-133 can include any type of non-volatile storage devices for storing code and/or data for computers 102-104. This includes, but is not limited to, magnetic storage devices (such as disk drives or tape drives), optical storage devices and magneto-optical storage devices. This also includes non-volatile semiconductor storage devices, such as flash memories or battery-backed up random access memo-

ries. In one embodiment of the present invention storage, storage devices 130-133 include disk drives.

[0025] Note that computer 102-104 are coupled with storage system 120 through communication links 108-110. In embodiment of the present invention, communication links 108-110 adhere to the fiber channel standard.

[0026] The system illustrated in FIG. 1 operates generally as follows. A computer, such as computer 102, makes an access request, such as a read operation, to a storage system 120. Controller 122 within storage system 120 receives the access request and tries to satisfy the access request within local cache 124. If necessary, controller 122 sends a request to a storage device, such as storage device 130, to complete the request. If the access is a read operation, storage device 130 returns the requested data, and controller 122 forwards to requested data back to computer 102.

#### Layers Involved in Sending Block-Level Commands to Storage System

[0027] FIG. 2 illustrates system layers involved in performing a file system access wherein block-level commands are transferred to storage system 120 in accordance with an embodiment of the present invention. These layers are used during one mode of operation for storage system 120. During another mode of operation, storage system 120 can receive file system commands.

[0028] A number of layers are illustrated within computer 102. Application 202 first initiates a file system access by executing an instruction that causes a file system call. This file system access may include a read operation, a write operation, or any other type of file access or file maintenance operation. The file system access passes into file system 204, which converts the file system access into lower-level commands to access logical blocks of storage. Logical volume manager 206 receives these lower-level commands and converts them into even lower-level block access commands. Note that logical volume manager 206 may additionally perform mirroring for fault-tolerance purposes. Logical volume manager 206 passes the block-level commands to SCSI driver 208. SCSI driver 208 converts the block-level commands into a form that adheres to the SCSI protocol. SCSI driver 208 passes the commands through a layer that converts the SCSI protocol command into a form that is suitable for transmission over a communication link that adheres to the fiber channel communication protocol. Note that although on embodiment of the present invention is described in terms of the SCSI protocol, the present invention can generally be used with other data transfer protocols.

[0029] Within storage system 120, the block-level command received from computer 102 across the fiber channel is converted back into a SCSI protocol command 212, and is then passed into SCSI emulator 214.

SCSI emulator 214 provides an interface that appears to be a dumb SCSI device, such as a disk drive. Within SCSI emulator 214, the block-level command is converted into a format that allows the block to be looked up within cache 124. If the access request cannot be serviced from entirely within cache 124, the access request passes through logical volume manager 217 to controller 218. Controller 218 passes the access request through SCSI device driver 220 and through SCSI to fiber channel converter 222 before forwarding the request to storage device 130.

[0030] Note that a block level access can be serviced from cache 124 (without accessing storage device 130) if the block-level access is a read operation, and the requested block is present in cache 124. During a write operation, a block that is written into cache 124 will eventually be written back to storage device 130.

#### Layers Involved in Sending File-Level Commands to Storage System

[0031] FIG. 3 illustrates system layers involved in performing a file system access wherein file-level commands are transferred to a storage device in accordance with an embodiment of the present invention. In this embodiment, application 202 generates a file system access and this access is passed into file system 302 (which is a client-side portion of a distributed file system). This file system access is immediately passed into transport layer 304, which packages the file system access for transport across a communication link from computer 102 to storage system 120.

[0032] Within storage system 120, the file system access passes through another transport layer which unpackages the file system access and then passes into file system 308 (which is a server-side portion of a distributed file system).

[0033] In one embodiment of the present invention, file system 302 (on the client side) and file system 308 (on the server side) act in concert to provide high availability. For example, suppose computer 102 fails during a file system operation. The highly available system allows a secondary backup computer, such as computer 103, to continue operating in place of computer 102. Note that the present invention also applies to computer systems that do not provide high availability.

[0034] File system 308 within storage system 120 passes the file system access to underlying file system 310. (Note that in general any file system can used the implement underlying file system 310.) Underlying file system 310 attempts to satisfy the file system request from cache 124. If a further access is required to storage device 130, the file system access is converted in a block-level request. This block-level request passes through logical volume manager 217 to SCSI device driver 220. Next, the block-level request passes through SCSI device driver 220 and is converted into a format suitable for transmission over a communication channel

adhering to the fiber channel standard. This block-level request is then forwarded to storage device 130.

[0035] Note that the present invention allows for two modes of operation. A first mode of operation (illustrated in FIG. 3) allows storage system 120 to accept higher-level file access commands. A second mode of operation (illustrated in FIG. 2) allows storage system 120 to accept lower-level block access commands.

[0036] The first mode of operation eliminates the work involved in converting an access request into a block-level form within computer 102, and then emulating a simple SCSI device with storage system 120, which converts the access into a higher-level form that is subsequently converted back down into a lower-level form before passing to storage device 130.

[0037] Instead, the first mode of operation sends the higher-level file system access directly to storage system 120 without first converting it into a block-level form.

#### Access Formats

[0038] FIG. 4A illustrates some of the components that make up a file system access 401 in accordance with an embodiment of the present invention. File system access 401 includes file handle 402, offset 404 and length 406. File handle 402 is an identifier that facilitates referencing a file. Offset 404 specifies an offset into the file and length 406 specifies a length for the request. Note that this length 406 can specify a single byte or many megabytes. Hence, a single file access can generate one or more block accesses into a storage device.

[0039] FIG. 4B illustrates some of the components that make up a block-level access 409 in accordance with an embodiment of the present invention. Block-level access 409 includes disk number 408 and block number 410. Disk number 408 identifies a specific disk (or a specific storage device) and block number 410 specifies a particular block within the storage device. Note that block number 410 may specify a logical block or a physical block within a storage device.

[0040] Note that file system access 401 and block-level access 409 also include other components, which are not illustrated, such as an access type, which determines whether the access is a read operation or a write operation.

#### Operation of Storage System

[0041] FIG. 5 is a flow chart illustrating operation of storage system 120 in accordance with an embodiment of the present invention.

[0042] The system first determines if it is configured to operate in a first mode of operation or a second mode of operation (step 502). In the first mode of operation, storage system 120 receives file system accesses, whereas in the second mode of operation, the file system receives block-level accesses.

[0043] The configuration process can take place at a number of different times. Configuration can take place when the physical components of a computer system are being integrated together. This can be accomplished by setting jumpers or switches to select a configuration. Configuration can also take place under program control during a system boot up operation. Configuration can additionally take place dynamically during computer system operation.

[0044] During the first mode of operation, storage system 120 receives a file system access (step 504). Storage system 120 translates the file system access 401 into a block-level access 409 (step 506). This block-level access 409 is subsequently forwarded to storage device 130 (step 508).

[0045] During the second mode of operation, storage system 120 receives a block-level access 409 (step 510). Block-level access 409 passes through SCSI emulator 214 (step 512) and through disk controller 218 (step 514). Block-level access 409 additionally passes through SCSI device driver 220 (step 516) before being forwarded to storage device 130 (step 518).

[0046] The foregoing descriptions of embodiments of the invention have been presented for purposes of illustration and description only. They are not intended to be exhaustive or to limit the invention to the forms disclosed. Accordingly, many modifications and variations will be apparent to practitioners skilled in the art. Additionally, the above disclosure is not intended to limit the invention. The scope of the invention is defined by the appended claims.

#### Claims

1. A method for servicing access requests directed to a storage system (120) within a computer system, comprising:

if the storage system is operating in a first mode of operation, receiving (504) a file system access (401) at the storage system, translating (506) the file system access into a first block-level access (409),

and

forwarding (508) the first block-level access to a storage device (130) within the storage system;

if the storage system is operating in a second mode of operation, receiving (510) a second block-level access (409) at the storage system, and forwarding (518) the second block-level access to the storage device (130).

2. The method of claim 1, wherein receiving the file system access (401) includes receiving an identifier (402) for a file and an offset (404) into the file.

3. The method of claim 1 or claim 2, wherein receiving

the file system access (401) includes receiving the file system access across a communication channel from a processor in the computer system.

4. The method of claim 3, wherein the communication channel conforms to the fiber channel standard. 5
5. A method according to any preceding claim, wherein forwarding the first block-level access (409) includes forwarding a device number (408) and a block number (410). 10
6. The method of claim 5, wherein the device number (408) identifies a disk within the storage system (120). 15
7. A method according to any preceding claim, wherein receiving the second block-level access includes receiving a second device number (408) and a second block number (410). 20
8. A method according to any preceding claim, wherein if the storage system is operating in the second mode of operation, the method further comprises: 25

after receiving the second block-level access (409) at the storage system (120), passing the second block-level access through a block storage device emulator within the storage system; passing the second block-level access through a cache (124; 125) for the storage device; and if the second block-level access (409) cannot be serviced by the cache, passing the second block-level access through a driver (220) for the storage device (130) before forwarding the second block-level access to the storage device. 30

35
9. A method according to any preceding claim, wherein receiving the file system access at the storage system (302) includes receiving the file system access from a client-side portion of a file system; and wherein translating the file system access into the first block-level access includes translating the file system access within a server-side portion of the file system. 40
10. A method according to any preceding claim, wherein the first block-level access conforms to the SCSI protocol standard. 45
11. A method according to any preceding claim, wherein the file system access conforms to the POSIX standard. 50
12. A method according to any preceding claim, wherein receiving the file system access includes

receiving the file system access from one of a plurality of processors coupled to the storage system.

13. A method according to any preceding claim, wherein forwarding the first block-level access to the storage device includes mirroring the first block-level access for fault-tolerance purposes.
14. A method according to any preceding claim, wherein the file system access additionally includes an access length (406).
15. An apparatus for storing data for a computer system (102; 103; 104), comprising:
  - a storage system (120);
  - a first communication channel (108; 109; 110) that couples the storage system to the computer system;
  - a storage device within the storage system, including a non-volatile storage medium;
  - a second communication channel that couples the storage system to the storage device; and
  - a controller (122; 123) within the storage system coupled between the first communication channel and the second communication channel, for co-ordinating operation of the storage system;
  - wherein during a first mode of operation, the controller is configured to,
    - receive (504) a file system access (401) at the storage system, translate (506) the file system access into a first block-level access (409), forward (508) the first block-level access to the storage device;
    - wherein during a second mode of operation, the controller is configured to, receive (510) a second block-level access (409) at the storage system, and forward (518) the second block-level access to the storage device.

16. The apparatus of claim 15, wherein the file system access (401) includes an identifier (402) for a file and an offset (404) into the file.

17. The apparatus of claim 15 or claim 16, wherein the first block-level access (409) includes a device number (408) and a block number (410).

18. The apparatus of claim 17, wherein the device number (408) identifies a disk within the storage system (120).

19. An apparatus according to any one of claims 15 to 18, wherein the second block-level access includes a second device number (408) and a second block

number (410).

20. An apparatus according to any one of claims 15 to 19, wherein if the storage system is operating in the second mode of operation, the controller is further configured to: 5

pass the second block-level access through a block storage device emulator within the storage system; and 10  
if the second block level-access cannot be serviced within the cache (124, 125), to pass the second block-level access through a driver for the storage device before forwarding the second block-level access to the storage device. 15

if the storage system is operating in a second mode of operation, receiving a second block-level access at the storage system, and forwarding the second block-level access to the storage device.

21. The apparatus according to any one of claims 15 to 20, further comprising a server-side portion of a file system within the storage device, and wherein the controller is configured to receive the file system access from a corresponding client-side portion of the file system. 20
22. The apparatus according to any one of claims 15 to 21, wherein the first communication channel conforms to the fiber channel standard. 25
23. The apparatus according to any one of claims 15 to 22, wherein the first block-level access conforms to the SCSI protocol standard. 30
24. The apparatus according to any one of claims 15 to 23, wherein the file system access conforms to the POSIX standard. 35
25. The apparatus according to any one of claims 15 to 24, further comprising a third communication channel that couples the storage device to a second computer system. 40
26. The apparatus according to any one of claims 15 to 25, wherein the controller is configured to mirror the first block-level access for fault-tolerance purposes. 45
27. The apparatus according to any one of claims 15 to 26, wherein the file system access additionally includes an access length.
28. A computer readable storage medium storing instructions that when executed by a controller (122; 123) within a storage system (120) cause the controller to perform a method for servicing access requests directed to the storage system according to any one of claims 1 to 14 50 55

forwarding the first block-level access to a storage device within the storage system;

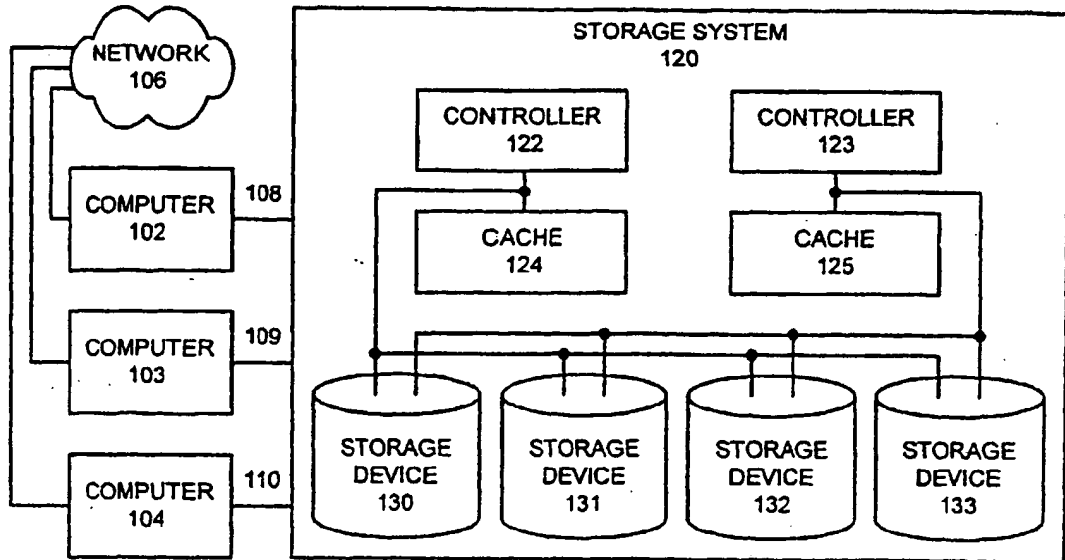


FIG. 1

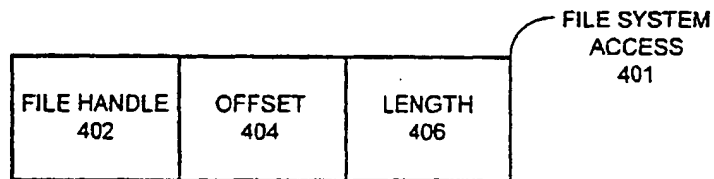


FIG. 4A

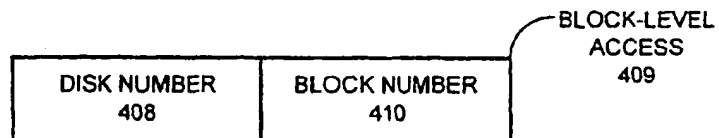


FIG. 4B



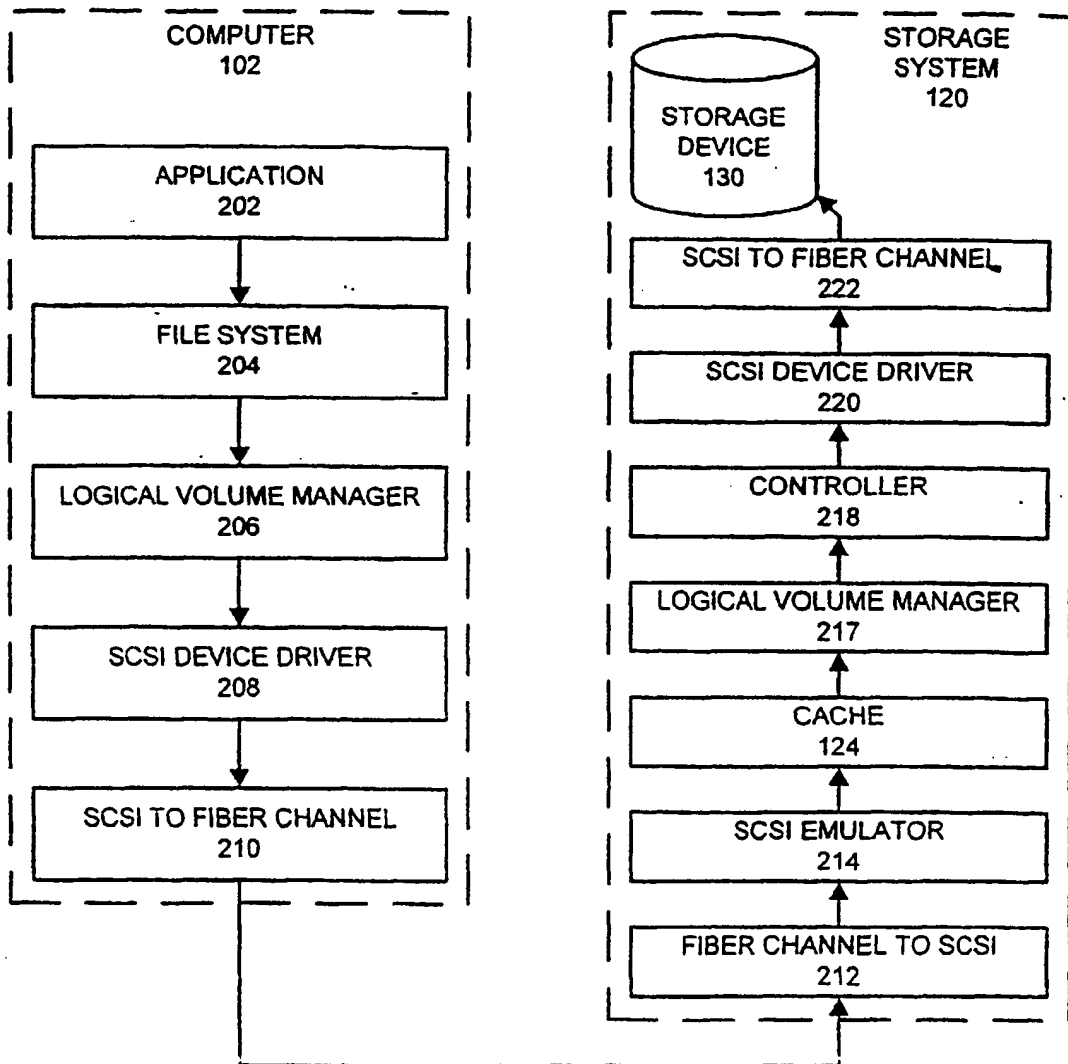


FIG. 2

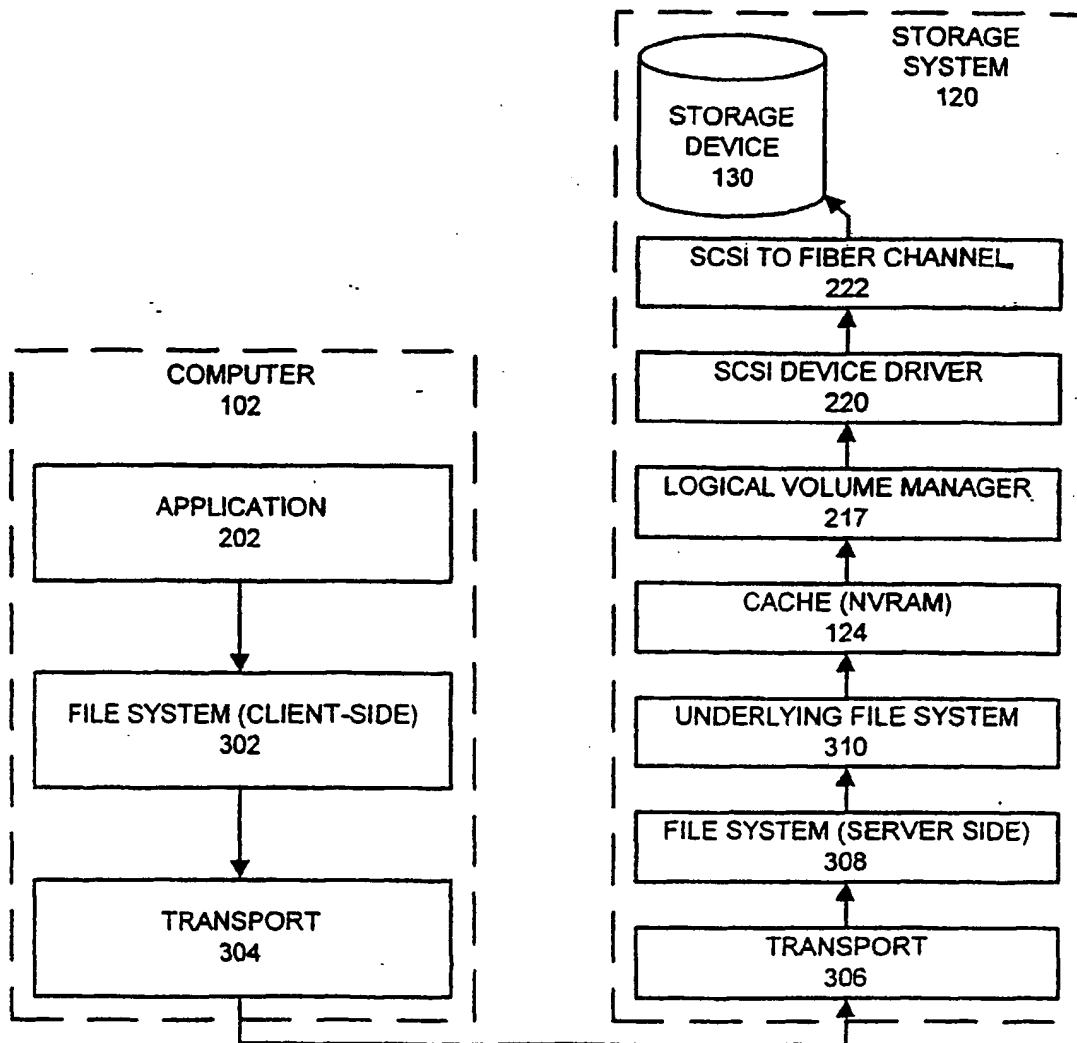


FIG. 3

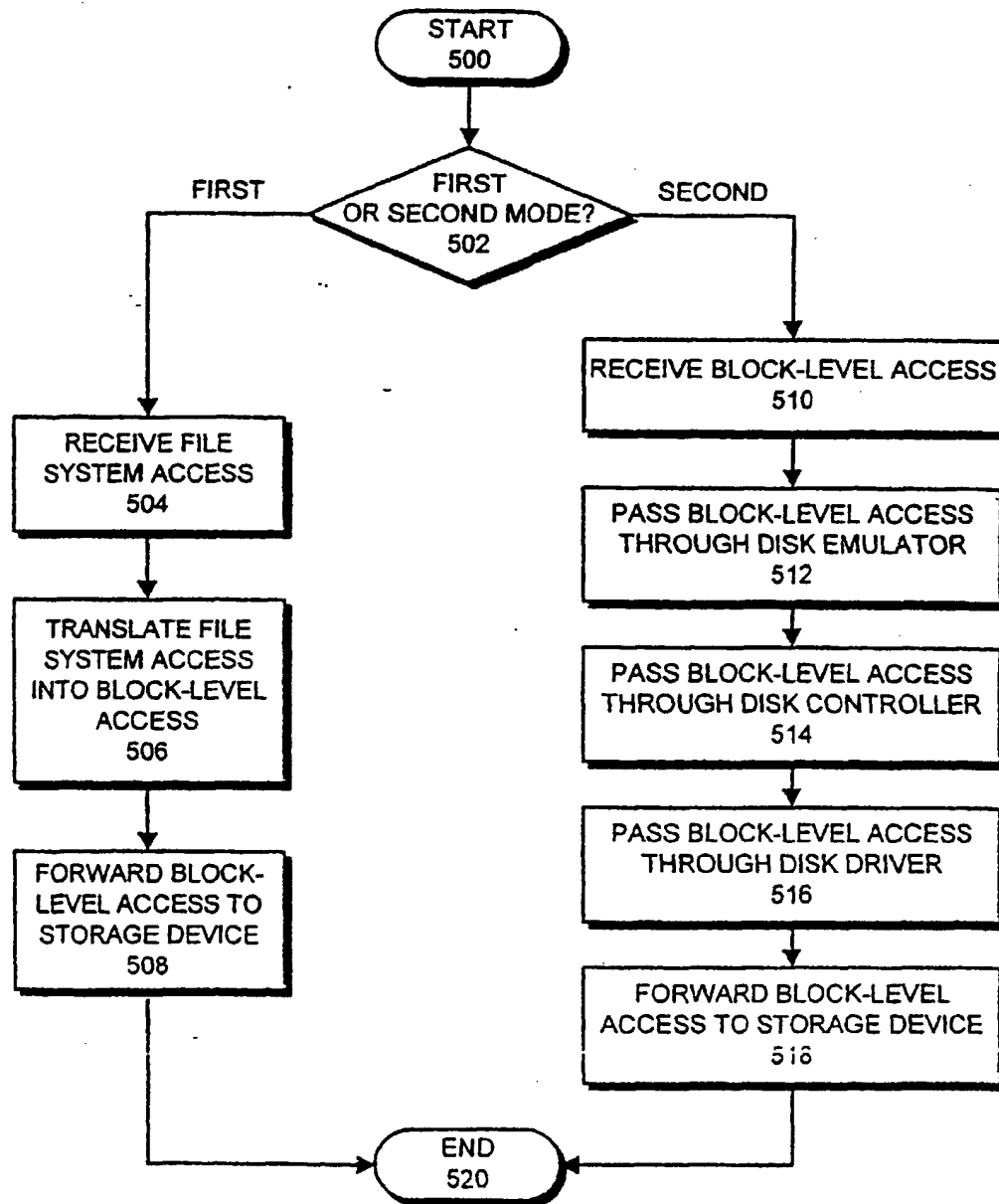
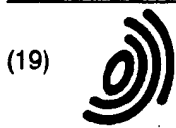


FIG. 5





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(30) Priority: 25.10.1999 US 427377

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(57) One embodiment of the present invention provides a storage system that services access requests received from a computer system. In a first mode of operation, the storage system receives a file system access from the computer system across a communication channel. This file system access includes an identifier for a file and an offset into the file. The storage system translates this file system access into a block-level access, which includes a device number and a block number. This block-level access is forwarded to a storage device. In a second mode of operation, the storage system receives block-level access, which includes a device number and a block number. The storage system forwards this block-level access to the storage device. In a variation on the above embodiment, during the second mode of operation, after receiving the block-level access, the system passes the block-level access through a storage device emulator, then through a cache for the storage device. If the block level access cannot be serviced within the cache, the system passes the block-level access through a driver for the storage device before forwarding the block-level access to the storage device.

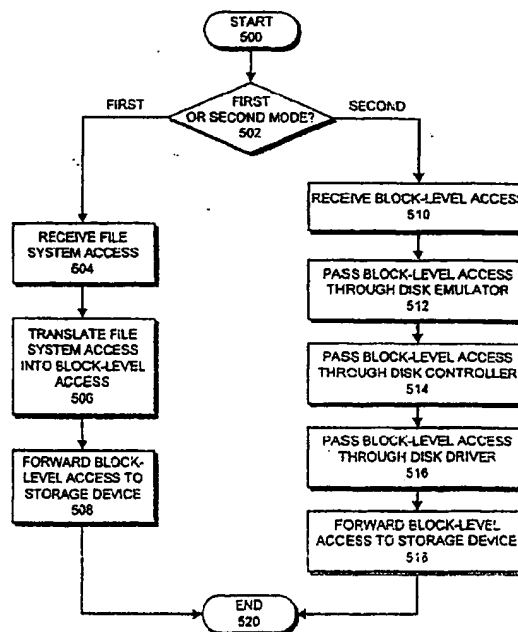


FIG. 5

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European Patent  
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# EUROPEAN SEARCH REPORT

Application Number  
EP 00 20 3639

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	US 5 592 662 A (YOSHIDA SEIJI ET AL) 7 January 1997 (1997-01-07) * column 4, line 50 - column 6, line 24; figures 2-5 *	1-7, 15-19,28	G06F3/06
A	US 5 619 690 A (MATSUMANI NAOTO ET AL) 8 April 1997 (1997-04-08) * column 2, line 8 - line 39 * * column 6, line 41 - column 8, line 34; figures 3,4 *	1,15,28	
A	PATENT ABSTRACTS OF JAPAN vol. 1997, no. 04, 30 April 1997 (1997-04-30) & JP 08 335180 A (MITSUBISHI ELECTRIC CORP), 17 December 1996 (1996-12-17) * abstract *	1,15,28	
The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			G06F
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>19 April 2001</b>	Examiner <b>Moens, R</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EP 00 20 3639 (1997-04-01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 20 3639

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
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